

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

DECLARATION FOR PATENT APPLICATION☐ Supplemental (37 C.F.R. § 1.67)

As a named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name;

I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

COMBINATION APPROACHES FOR GENERATING IMMUNE RESPONSES

the specification of which (check one)

- ☐ is attached hereto.
- ☐ was filed on _____ as United States Application No. _____
- ☒ was filed on September 15, 2004 as International Application No. PCT/US2004/030233 and assigned U.S. Application No. US 10/571,882.
- ☒ and was amended on March 15, 2006 (if applicable).

I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office information known to me to be material to patentability as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or (f), or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Claimed?	Certified Copy Attached?

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Name of first inventor	Susan W. Barnett
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Citizenship	USA
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Inventor's signature	<i>Susan W. Barnett</i>
Date	4 Dec 2006
Name of second inventor	Victor Raul Gomez-Roman
Residence (City, State)	
Citizenship	
Post Office Address	c/o NIH
Inventor's signature	
Date	
Name of third inventor	Ying Lian
Residence (City, State)	People's Republic of China Vallejo, California
Citizenship	USA
Post Office Address	c/o P.O. Box 8097, Emeryville, CA 94662-8097 USA
Inventor's signature	<i>Ying Lian</i>
Date	5 Dec 2006
Name of first inventor	Bo Peng
Residence (City, State)	
Citizenship	
Post Office Address	c/o NIH
Inventor's signature	
Date	
Name of first inventor	Marjorie Robert-Guroff
Residence (City, State)	
Citizenship	
Post Office Address	c/o NIH
Inventor's signature	
Date	

Name of first inventor

Indresh K. Srivastava

Residence (City, State)

Benicia, California

Citizenship

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Post Office Address

c/o P.O. Box 8097, Emeryville, CA 94662-8097 USA

Inventor's signature

Indresh K. Srivastava

Date

12/04/06

DECLARATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

COMBINATION APPROACHES FOR GENERATING IMMUNE RESPONSES

the specification of which:

(check one)

☐ is attached hereto;

☐ was filed as United States Application Serial No. ____ on ____, and was amended on (if applicable);

☒ was filed as PCT International Application No. PCT/US2004/030233 on September 15, 2004;

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information which is known to me to be material to the patentability of said invention in accordance with 37 C.F.R. §1.56;

I hereby claim foreign priority benefits under 35 U.S.C. §119 and/or §365 of any foreign application(s) for patent, any foreign application(s) for inventor's certificate, or any PCT international application(s) designating at least one country other than the United States of America listed below; I have also identified below any foreign application(s) for patent, any foreign application(s) for inventor's certificate, or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Prior Foreign Application(s)

COUNTRY/INTERNATIONAL	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED
			<input type="checkbox"/> YES <input type="checkbox"/> NO
			<input type="checkbox"/> YES <input type="checkbox"/> NO

I hereby claim the benefit under 35 U.S.C. §119(e) of any United States provisional application(s) listed below:

60/503,617 15 September 2003
 (Application Number) (Filing Date) (day, month, year)

60/504,501 15 September 2003
 (Application Number) (Filing Date) (day, month, year)

 (Application Number) (Filing Date) (day, month, year)

I hereby claim the benefit under 35 U.S.C. §120 and/or §365 of any United States application(s) or of any international application(s) designating the United States of America that is/are listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior application(s) in the manner provided by the first paragraph of 35 U.S.C. §112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in 37 C.F.R. §1.56 which became available between the filing date(s) of the prior application(s) and the national or PCT international filing date of this application:

Prior U.S. Application(s) or PCT International Applications Designating the U.S. for benefit under 35 U.S.C. §120

U.S. APPLICATIONS			STATUS (<i>check one</i>)		
U.S. APPLICATION NO.	U.S. FILING DATE (day, month, year)		Pending	Patented	Abandoned
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
PCT APPLICATIONS DESIGNATING THE U.S.					
PCT APPLICATION NO.	PCT FILING DATE (day, month, year)	U.S. APPLICATION NOS. (if any)			
PCT/US2004/030233	15 September 2004		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: **GOMEZ-ROMAN, Victor Raul**

Inventor's signature *V. Raul Roman* Date 7/23/06

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Full name of second inventor: **PENG, Bo**

Inventor's signature *Bo Peng* Date 6/27/06

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Full name of third inventor: **ROBERT-GUROFF, Marjorie**

Inventor's signature *Marjorie Robert Guroff* Date 6-23-06

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Citizen of: United States

Post Office Address: Same as above